

Incorporating Ethics in Defense M & S: New Frontiers in Standards

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ABSTRACT: *The Defense Modeling and Simulation (M&S) Standards community is well-positioned to use technology to further the analysis of military ethical behaviors. The explosively rapid evolution of technical adjuncts to contemporary combat has impacted all three of the traditional applications of M&S: Training, Analysis and Evaluation. This includes addressing emerging issues, hitherto not faced, i.e. the ethics of the proper use of AI controlled weapons. This rapid expansion has left little time to consider the need to recognize the potential role for M&S, e.g. ethical implications of AI culpability. This paper is a call for a more reasoned and grounded consideration of these issues. A survey is laid out of the concepts of interest, the existing ethical guidance, the theological/philosophical underpinnings and the novel issues of concern. Also covered are the historical and parallel issues as addressed by the medical, legal, police, and research communities. Some of the questions addressed are: Should simulations contain ethicality components of battlespace modeling? Should ethical quandaries be included in combat training exercises? Should human inputs during training be assessed for ethical behavior? Where does culpability lie if AI makes a morally important decision on the application of deadly force? Later sections of the paper include discussions of current practices and potential improvements in all these areas. A brief discussion is advanced for the possible results from various approaches considered on a spectrum of defense issues ranging from recruitment efficiency through combat efficacy and on to long-term psycho-pathological effects such as Veterans' Post Traumatic Stress Disorder.*

1. Introduction

The goal of this paper is to identify, examine and analyze the needs to incorporate ethics, humanity and the Law of War [1] into virtual, constructive and live simulations of combat. Based on the authors' experience and an initial literature survey, there seems to be a paucity of inclusion of ethical challenges presented in military simulations, yet it is a main focus of training, despite some comments by media suggesting it is not being included in the DoD's training syllabi. Other professionals include ethics training in their curricula and professional, often referred to as Continuing Professional Education. As in many instances, the military professional are routinely met with ethical issues that contain national and even international concerns [2]. This paper asserts that the inclusion of ethical challenges into the plethora of existing battle simulators would enhance the impact of existing, classroom, instruction. There is significant evidence that combat

stress can eradicate or militate against application of training into actual behaviors, but that simulated events tends to counteract that tendency. Positing that such is the case, a question might arise about the need for standards of passing these quandaries between the range of simulators and making the output of results standardized and quantified in a way that could optimize the clarity, utility, and reliability of such efforts. The paper opens with a quick review of the Law of War, current training methods, potential sources of combat ethics scenarios, evaluative instruments and analytic tool issues. Some early thoughts on the approaches to these issues are presented, along with suggestions of the order of magnitude of implementing such scenarios into legacy and emerging simulations. Part of this process is an early design of a measurement instrument to assess the nature of the need for further efforts into this area. This “straw-man” instrument is offered and is briefly discussed. Various impediments, risks and cost of pursuing such a universal inclusion into the defense simulation environment are considered. A short analysis of metrics of efficacy is set forth. Failure to conform to carefully created social and moral dictates have been reported to be causative or exacerbative agents in the proliferation of Post-Traumatic Stress Disorder (PTSD), which is also referred to as “PTS”, as some view it as an injury, not a disorder. This possibility could be analyzed as part of the implementation program and analysis of participants’ responses, then correlating such data with actual PTSD rates among future combat veterans. Conlutory comments are presented and suggested future research areas are considered.

2. Background

A quick look at the ethical, moral and statutory rules that govern human conduct during combat and national disagreements is desirable prior to discussing the defense simulation communities obligations and opportunities in communicating and enforcing policy. Many terms and precepts in this area are matters of current and continuing contention, so this outline will lay out the way the terms are used, with an acknowledgment that such a set of declarations is open to question. As none of the authors has been on active duty for at least three decades, no inference should be taken that the following represents current U.S. Policy nor is is suggestive of any declaration that any of the statements herein below should be adopted. It is an attempt to define the terms as they are commonly used in the international community.

As one looks back at the paleontologic record and works up the phylogentic scale, animals begin to demonstrate increasingly sophisticated and aggressive behaviors based on survival and territoriality. Darwinian selections favor the survival of those who can appropriate sustenance and defend the sources thereof. Homo Sapiens Sapiens has left anthropologic evidence of both group structure and a significant advance in conceptual approaches to life and death, e.g. ceremonial burial and non-utilitarian decorative arts. Also there is the beginnings of what is the written record assumes to have been an articulation via the oral tradition that was set to a written physical record starting on the order of 6,000 BC, with more sophisticated histories being evident in the near-east from around 3,500 BC. Of these early writings, many were “war stories,” both historical and mythological. Early mentions of constraints to non-human aggressiveness during conflicts appeared as early as the Code of Hammurabi [3] ca.(1750 BC) , which prohibits the imposition by the powerful over the weak. The Book of Deuteronomy was originally held in an oral tradition based on events involving the prophet Moses (ca. 1350 BC?), which were committed to writing after that and with written versions being extant from much later (ca. 30 BC?), in which an admonition is declared to restrict combatants from cutting down fruit trees [4] and from treating captured women as slaves and selling them.[5] In any case, elaborate sets of rules of conduct seem to have arisen in almost every society and have ranged from being just short or total animalistic bedlam to such strictures that were so restrictive to make combat a mere ritualistic exhibition of mutual distaste, bereft of violence and injury.

A major milestone in this analysis was generated by a Roman Catholic citizen of Rome, who was of Berber ethnicity educated in Carthage, and by all evidence, was never a combatant. He is now known of Saint Augustine of Hippo [6]. He spoke eloquently about the concepts of a “Just War.” These precepts were expanded upon by others, including Saint Thomas Aquinas [7], and set some standards that are foundations for what are currently accepted as binding on all combatants around the world today. This is separated into two sets of precepts: *Jus ad bellum* (justice before war) and *Jus in bello* (justice during war).

Table 1 Just War Parameters as per St. Augustine of Hippo

<i>Jus ad bellum</i>	<i>Jus in bello</i>
Damage inflicted by the aggressor on the other must be lasting, grave, and certain	Moral law retains validity during armed conflict. The mere fact that war has broken out does not mean that everything becomes licit between the warring parties
All other means of putting an end to it must have been shown to be impractical or ineffective	Actions contrary to the law of nations and to its universal principles are crimes, as are the orders that command such actions. Blind obedience does not suffice to excuse those who carry them out. Extermination of a people, nation, or ethnic minority must be condemned. One must resist orders that command genocide.
There must be serious prospects of success	Non-combatants, wounded soldiers, and prisoners must be respected and treated humanely.
Use of arms must not produce evils and disorders graver than evil to be eliminated	Every act of war directed to the indiscriminate destruction of whole cities or vast areas with their inhabitants is a crime against, which merits firm and unequivocal condemnation

As commonly understood by the international academic and justice communities to constitute the current Law of War that obtains throughout the globe. This does not represent a treaty or other signed document, but is accepted as a standard to which all persons can be held accountable. This presents somewhat of a conundrum as the value of human life and the rules that protect it do vary from culture to culture, but there are held to be some standards to which any person may be held to account for committing. This includes a new set of issues: Jus Post Bellum, or Justice After War, that were not directly addressed by St. Augustine but were treated by his other writings on ethics..

Table 2 Just War Parameters

<i>Jus ad bellum</i>	<i>Jus in bello</i>	<i>Jus post bellum</i>
Just Cause	rules between enemies for the conduct of hostilities and protection of war victims	Just cause for termination
Comparative Justice	rules between belligerents and neutrals	Right intention
Competent Authority	rules for military occupation	Meaning no revenge
Right Intention	duties during peacetime that help implement the above rules	Use legitimate existing authority that respects human rights

As is its wont, the Defense Department is in need of a more precise analysis and promulgation of what that should mean to the warfighter. Therefore, the U.S. DoD advances a less complex set of basic criteria and promulgates a 1,300 page explication thereof: [8]. This is the manual for use by U.S. military personnel. These are offered to be a complete recitation and analysis of actions and situations that must be considered.

Table 3 Just War Parameters as per U.S. DoD

<i>Jus ad bellum</i>	<i>Jus in bello</i>	<i>Jus post bellum</i>
A competent authority	Rules between enemies for conduct of hostilities and protection of war victims	Just cause for termination an agreement to end hostilities,
A just cause (e.g, self-defense)	Rules between belligerents and neutrals	Right intention an agreement to end hostilities
Means must be proportionate to cause	Rules for military occupation	Meaning no revenge an agreement to end hostilities,
All alternatives must have been exhausted	Duties during peacetime to help implement the above rules	Complete subjugation of an enemy State and its allies
Right intention on the part of just belligerent		Simple cessation of hostilities

2.1 Current Instruction Methods

Current curricula at all of the service academies and Reserve Officer Training Corps (ROTC) programs offer lecture courses entitled “Law or War” or similar nomenclature. Most fields of study make this a requirement. The authors report that their military active duty in both the Navy and the Marine Corps had regular (annual?) refresher courses in the Law of War and appropriate behavior in combat situations. All of this training was in the typical lecture format, with a significant amount of time being spent on these topics in extracurricular discussions in various settings. Despite uninformed suggestions to the contrary, one of the authors [9] had a series of half a dozen lectures presented to those destined for duty during the Vietnam War, with all of these focused on appropriately humane and constrained actions in combat, as well as cultural differences and the need to avoid any negative behavior or attitudinal offensive comments directed to those of a different ethnicity or class.

Research has demonstrated the pervasiveness of such training [10], *cf.* studies that show these efforts have produced impacts that are measurable but may not be sufficient. [11] The authors have taught at various levels and their experience is that lecture format training is often more effective as training for tests and other formal assessments than it is in the implementation of lessons learned in the classroom in a “real world” situation. Constructive educational theories and practice is aimed at inculcation and implementation of lesson plan goals into effective behaviors and skills in professional and personal settings. Some of these efforts have shown some efficacy in fields not closely related to Law or War behavioral goals. [12]. On the other hand, the authors see techniques like role-playing and discussion groups as not easily designed and administered to produce lasting impacts in the context of combat.

Having experienced the lectures in combat ethics mentioned above, one effort at the Institute for Creative Technologies at the University of California had a mandate from the Navy to develop a program to improve management techniques in the leadership billets in the Navy. This included defining and analyzing four different leadership styles: Transformational, Servant, Transactional and Authoritarian. The major thrust of the program was a virtual mentor, provided by a series of questions asked of a real life Naval mentor, running on the order of a half a minute to an three minutes. These were selected by an Artificial Intelligence program [13] using Natural Language Processing (NLP) to select and present on screen the correct answer within a 500 millisecond limit, thereby providing a convincing “video-call” experience.

The original users reported that they had trouble imagining the sorts of situations what would employ the theories being advanced. As a non-contracted adjunct to provide more context for the to the user, the team on which one of the authors

was currently serving, was conceived and developed to engage the users. A side-bar bit of history may be of use; USC's ICT was originally stood up to make use of the plethora of entertainment skills available in the Los Angeles Basin. The authors had observed that many of their compatriots in the service remembered commercial movie representations of military leadership much more vividly than their assiduously delivered lectures. They then developed a pedagogical tool using clips from the film industry. It was easy to find very dramatic and engaging incidences, some based on actual military personnel, historical speeches, and well-known settings.

They then presented these clips (typically lasting three minutes or so) along with a series of questions as to how they would apply to the training they had received in their leadership classes. Then the user would be asked to identify and contemplate the style represented and its appropriateness to the situation as set forth in the clip. To some degree the authors were relying on their observation that many of the users would have seen the entire movies being used and would need little background as to the environment. Even had they not, there was a hope that the situations would stand on their own.

The users who tried this method reported that it did operate as a reinforcing supportive tool adding to the impact of the more personal lectures. It also was seen as a clearly organized and focused communication method that the dialogue of the leadership mentor using NLP. This is advanced in this paper as an auxiliary pedagogy to the battlefield simulation inclusion of Law of War issues to be raised later. To further explicate this concept, the following images and comments should give a better understanding of the result. Also the reader may find it interesting were they to view the clips and briefly review a few of the analyses at: <http://www.hpc-educ.org/Danz/PAL3/LdrShpClips.php>

Leadership Scenarios for Consideration

Leadership Styles	
Transformational (the Coach)	Motivate and train subordinates building a shared vision and establishing the group's goals
Servant (the Enabler)	Identifies and addresses the needs of subordinates and develops their skills and resources to enable mission success
Transactional (the Deal-maker)	Sets target outcomes and then rewards/punishes based on performance
Authoritarian (the Boss)	Issues direct orders to subordinates to control how work gets done, with no requirement to explain goals or to reward/threaten

The following clips, mostly from well-known and well worth watching movies, contain examples of leadership styles. Watch the clips, observing the styles being portrayed. Consider their effectiveness and appropriateness to the situation. There is a question for each clip. This is not grade school; the goal is not to parrot back what the teacher says, but to consider what this may do to inform your own analyses and your own choices when faced with similar issues. Each case is unique in many ways and along many different dimensions, but one can better be able to rise to the challenge by analyzing the issues. The styles often overlap, but this should not reduce the value of the analyses; in fact it may illuminate the insights.

After the question, several options are considered. While not proffering a "correct" answer, some analyses are given by experienced military leaders; most of them have served while being in harm's way. This information can be accessed by hovering your cursor over the answer. Additionally, some thoughts on these situations are offered in the last column. *NB.* All of the movies are available on-line or at libraries. Sorry, the hover version does not work on iOS and most SmartPhones. If you only have a SmartPhone, here is the data in tabular form: <http://www.hpc-educ.org/Danz/PAL3/LeadershipClipTable.pdf>

Click on the clip and watch it; when it is over, click on your browser's back arrow to come back to this page and consider the questions.

Link & Title	Clip setting	Question	Answers	Thoughts & Issues
 12 O'Clock High	Two senior commanders, Gen Savage (Peck) and Col Davenport (Merrill), discuss two approaches to saving a deteriorating unit that has been more than decimated by combat losses	What are the two competing and contrasting leadership styles being advocated here? (Gen Savage/Col Davenport)	Transformational/ Servant Transactional/ Authoritarian Transformational/ Transactional Servant/ Authoritarian	First Second Third
	An adventuresome British	What leadership style is	Transformational	First

Figure 1 Screen Capture of training aid using clips from Motion pictures

A little more detail is provided in the following images and comments.

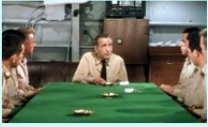
 Bridge on the River Kwai	An adventuresome British Officer tries to induce a more junior, less enthusiastic rescued American to go on a dangerous mission	What leadership style is optimal when facing a suicidal mission and needing significant independent action?	Transformational Transactional Servant Authoritarian	First Second Third
 A Bridge Too Far	An enthusiastic General addresses his officers before a difficult evolution, seeking their commitment to its success	Charismatic is another term for what leadership style, which is shown here by LtGen Horrocks (Edward Fox)?	Transformational Transactional Servant Authoritarian	First Second Third
 The Caine Mutiny	A new Captain addresses his officers after taking over a very battered ship with a worn-out crew	Trite language and adherence to rules, but with a lot of "or else" language, indicate this CO is in which mode?	Transactional Servant Authoritarian Transformational	First Second Third
 Lawrence of Arabia	A commanding General empties his magazine of persuasive prowess to gain the support of a wounded officer	Here the General Allenby (Jack Hawkins) employs several styles; is one missing?	All Authoritarian Transformational Transactional	First Second Third

Figure 2 Screen Capture Close up of selected examples of concept entries

 Bridge on the River Kwai	An adventuresome British Officer tries to induce a more junior, less enthusiastic rescued American to go on a dangerous mission	What leadership style is optimal when facing a suicidal mission and needing significant independent action?	Transformational Transactional Servant Authoritarian	First Second Third
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Figure 3 Screen Capture Close up of detail example of concept entry

 Bridge on the River Kwai	An adventuresome British Officer tries to induce a more junior, less enthusiastic rescued American to go on a dangerous mission	What leadership style is optimal when facing a suicidal mission and needing significant independent action? There is an implied, but not manifest, deal here; "Go or you'll get in trouble", but the Major wants a volunteer, not a slave.	Transformational Transactional Servant Authoritarian Transformational	First Second Third
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Figure 4 Screen Capture Close up of detail example with mouse hover on one selected answer with guess analyzed.

However, several studies and anecdotal evidence have surfaced that reinforce the ability of carefully constructed and realistically presented simulated combat has produced both measurable and actual combat success results. It is the authors experience that a carefully constructed battlefield scenario that is presented in a sufficient fidelity to engage the user will an emotional and competitive “buy-in” that will come as close to live combat as possible. To support that contention, one of the authors once had to physically interpose himself between two opposing (one red team; the other blue team) participants in a simulation exercise to prevent them from coming to blows. It is in just such times when stress is severe and emotions are high that the constraints are most in danger of failing. Flowing from that is the main thesis of this paper: US DoD simulation efforts should include ethical issues and capture observed behaviors for later analysis and counseling.

2.2 Other Professions’ Approaches

It is deemed useful and prudent to consider how other professions define and train for such issues. The three that are covered here are: Medicine, Police and Law

Medical Ethics

The American Medical Association has adopted the following articulation of ethics [14], example excerpts of which are shown below:

- I. A physician shall be dedicated to providing competent medical care, with compassion and respect for human dignity and rights.
- II. A physician shall uphold the standards of professionalism, be honest in all professional interactions, and strive to report physicians deficient in character or competence, or engaging in fraud or deception, to appropriate entities.
- ...
- IX A physician shall support access to medical care for all people.

These are taught in all accredited medical schools in the US and are requisite portions of continuing medical education in the United States. Instruction is almost entirely by lecture. Like military ethics, in medicine, more than reputation and monetary values are commonly at stake; lives and limbs hang in the balance and mistakes often cannot be rectified later. There is a similar code for the profession of nursing, promulgated by the American Nurses Association [15]. Its short form contains nine provisions and is more completely fleshed out in a 252 page Guide to the Code of Ethics for Nurses: With Interpretive Statements: Development, Interpretation, and Application which is taught in BSN programs as part of ethics education. [16]

Police Ethics

The police Code of Ethics is issued by the International Association of Chiefs of Police [17]. It is short enough to be shown here in its entirety:

Law Enforcement Code of Ethics

“As a law enforcement officer, my fundamental duty is to serve the community; to safeguard lives and property; to protect the innocent against deception, the weak against oppression or intimidation and the peaceful against violence or disorder; and to respect the constitutional rights of all to liberty, equality, and justice.

I will keep my private life unsullied as an example to all and will behave in a manner that does not bring discredit to me or to my agency. I will maintain courageous calm in the face of danger, scorn or ridicule; develop self-restraint; and be constantly mindful of the welfare of others. Honest in thought and deed both in my personal and official life, I will be exemplary in obeying the law and the regulations of my department. Whatever I see or hear of a confidential nature or that is confided to me in my official capacity will be kept ever secret unless revelation is necessary in the performance of my duty.

I will never act officiously or permit personal feelings, prejudices, political beliefs, aspirations, animosities or

friendships to influence my decisions. With no compromise for crime and with relentless prosecution of criminals, I will enforce the law courteously and appropriately without fear or favor, malice or ill will, never employing unnecessary force or violence and never accepting gratuities.

I recognize the badge of my office as a symbol of public faith, and I accept it as a public trust to be held so long as I am true to the ethics of police service. I will never engage in acts of corruption or bribery, nor will I condone such acts by other police officers. I will cooperate with all legally authorized agencies and their representatives in the pursuit of justice.

I know that I alone am responsible for my own standard of professional performance and will take every reasonable opportunity to enhance and improve my level of knowledge and competence.

I will constantly strive to achieve these objectives and ideals, dedicating myself before God to my chosen profession... law enforcement.”

The authors note that this code is the only one they reviewed that is stated in the first person singular and that mention a deity in the text, raising a more challenging philosophical question as to the relationship of role of faith in a god in the formation of ethics or the swearing of an oath. This code is taught at most Police Academies and is commonly posted in police department offices. The authors have seen it recited by the new officers who are being sworn-in at their badging ceremony, in the presence of the badging authority and invited guest.

Legal Ethics

The American Bar Association promulgates their Model Rules of Professional Conduct in a document that covers 85 Rules and uses 272 pages to fully discuss them. The Supreme Courts in every jurisdiction have adopted some form of these ethics. Law schools require an entire course in legal ethics. The issues covered are complex and sometimes difficult to parse, e.g. the very complex issues of how much effort and what kinds of tactics are legal when giving adequate representation to which a criminal client is morally and constitutionally entitled, while at the same time not violating an officer of the courts obligation to uphold the law. After admission to the bar, all lawyers must complete annual continuing legal education that must include ethics issues. The Bar Exam administered in by the cognizant Supreme Court usually contains at least one ethics issue that must be identified and analyzed. Contrary to commonly held misconceptions, courses in legal ethics do not focus on basic honesty, but on the complex conflicts that may catch the practicing attorney unawares.

Here is a sample of the rules: Rule 4.4

“Respect for Rights of Third Persons

Transactions With Persons Other Than Clients

- (a) In representing a client, a lawyer shall not use means that have no substantial purpose other than to embarrass, delay, or burden a third person, or use methods of obtaining evidence that violate the legal rights of such a person.
- (b) A lawyer who receives a document or electronically stored information relating to the representation of the lawyer's client and knows or reasonably should know that the document or electronically stored information was inadvertently sent shall promptly notify the sender.”

[18]

2.3 Impact of Other-Field Experience on Military Ethics Training

All of these systems have had significant impact on the ethics, ethical awareness and professional behaviors of the involved communities. Nothing in this paper should be taken to be a manifest or latent criticism of those efforts. Instead, it is an acknowledgment of the special stress and invariable loss of members of tightly-knit combat teams. There are approximately 800,000 sworn officers in the US. In 2022, 120 lost their lives in the line of duty, about half of whom were killed by felonious assaults by assailants, the rest from accidental deaths. [19] That means a year of active police work resulted in about one chance in 6,300 of dying on duty. The average year of combat in the Vietnam was resulted in one in

50 dying there [20]. Three men in one of the authors' boot camp platoon of 44 were dead within 12 months of graduation and two of the 50-man unit with he served, died during his one-year tour there. This is a pressure that exceeds that of the other fields by ten times. Even a 25-year police career does not come within an order of magnitude of that faced by the average warfighter in Vietnam in one year.

That kind of pressure requires a very deep level of awareness of ethical imperatives and manifest rational command to enforce the Laws of War when the pressures of combat destroy all but the most imminent issues be addressed with each human's limited cognitive resources. Therefore, the authors assert that even more engaging training be considered, implemented, and repeated so that it will be instantiated practice and be effectively operational, even in the most desperate of circumstances. Various observations of the authors and a few studies have shown that well-designed and administered battlefield simulations to emulated the physiological reactions of those in combat to some degree.

3. Issues Related to Incorporating Ethical Training and Evaluation in Simulated Scenarios

A number of questions arise when the inculcation of more stress-resistant ethical behavior in warfighters. These will be addressed below, with the *caveat*, the analyses are based mainly on the authors' life experience in the military, medical professions, and police work.

3.1 Should simulations contain ethicality components of battlespace modeling?

History is replete with records, perhaps characterized by hyperbole, of slaughter or innocent and defenseless peoples from ancient times [21] up through 20th Century's news [22]. But no longer is it celebrated, but widespread deaths within a groups' own populace is often encouraged by combatants to enable their own propaganda efforts. Even a few inappropriate actions make international news and can completely offset the otherwise just actions by the opposing party.

Then there is the matter of the inherent moral obligation to act honorably. As discussed before, certain issues of ethics and faith can have salutary pressure to adhere to ethical behavior. Behaviorally troubling is the living of a life after the pressures of combat have induced behavior that will weigh on the perpetrator's psyche for decades. Even though the average citizen may be removed physically and emotionally from the chaos of combat, it could be argued that they bear the some of the responsibility for adequately preparing for and faithfully remediating the harm that those they have chosen to fight society's battles on their behalf. The investment of modest sums of time, funds and effort to improve the preparation and ensure the treatment is worthy of serious consideration.

3.2 Should ethical quandaries be included in combat training exercises?

It might be easier to present easily recognized ethical issues. The authors have often heard the strictures of obedience to only lawful orders characterized as "... do not obey an order to put women and children in ovens." However combat experience may not always be that clear cut. Following the lead of the earlier work relying on professionally produced motion pictures to highlight command principles, perhaps the moral quandaries portrayed in such films as *Das Boot* and *Saving Private Ryan* give some more nuance to ethical issues. These quandaries should not wait until presented in the tumult of a fire-fight.

This raises the question of how to identify typical issues: inappropriate action of those under the user's command, unlawful commands from a senior, malfeasance by members of other units, loyalty to group and comrades in relations to higher obligations to conform to the Law of War and accepted behaviors. An earlier attempt to include ethical issues in a Rocky Mountain state's bar examination in the 1970's went awry when the bar examiners announced to the examinees' that their exam questions would include one ethics issue to be recognized and addressed. In fact, three obvious and almost universally recognized by the examinees [23]. Naturally, that was very unsettling to those who had invested three years

and significant money to be prepared to pursue their profession. This gaffe warranted a letter of apology from the state's supreme court to all of the examinees.

These issues alone call for a careful structuring of the training, including many issues that could benefit from those who have focused on simulations standards and interoperability of both methods and metrics. It speaks to the necessity of an assiduous and sophisticated investigation of both the issues and proper reaction them by a select group with varied and defensible backgrounds and select committee to review their insights and recommendations.

3.3 Should human inputs during training be assessed for ethical behavior?

The classic enumeration of the uses of battlefield simulations are: training, analysis and evaluation. The goals of these uses can be stated as improving performance in warfighters, examining the likely outcomes to differing actions, and a virtual investigation of the efficacy of new technology or techniques. A fourth use and commensurate goal would be the individual user's competency and capabilities. Finding out early where a warfighter is likely to be effective and to avoid the tragedy experienced in many wars of finding out a person was catastrophically ill-suited for the task to which he was assigned. It is posited that this approach should also apply to ethical issues. A person showing pernicious resistance to compliance to ethical/moral directives could be carefully assigned to post where such a lack of judgment would not have deleterious effects.

Again, carefully structured standards would be required to support the achievement of the assessment goals. In addition, it would have to be so well designed so as to be immune from attacks from those whose careers may be truncated due to low scores in this area. Again relying on the experience of the simulation standards community, it would be advisable were the standards designs to take heed of the likelihood of which kinds of issues the user would face. The issues faced by the Navy submarine officer on an missile submarine will vary from those of an Army infantry soldier or a Marine artillery crew member or an Air Force pilot. The simulation standards community has faced similar issues for the last three decades.

3.4 Where does culpability lie if AI makes a morally important decision on the application of deadly force?

New technologies present new ethical quandaries. When designing new technologies or developing new techniques, it has been the authors' experience that it is easy to not spend much time considering ethical issues and the chance the machine will make choices that will be found as not conforming to laws and human morality. These issues are already on the minds of the public as self-driving cars become more common. People who may be culpable are car owners, drivers, automobile manufacturers, sub-components, programmers, *etc. ad infinitum*. The issue is magnified when the incalculable power held by major nations is turned to the destruction of an enemy. These issues need to be thoughtfully addressed.

4. Conclusions

Simulation is a major aspect of defense preparedness. A literature search was not successful in finding any inclusion of ethical issues and analysis of them. There are a number major reasons that could and should be remedied. The simulation standards community has much to offer in this effort. Future research should be envisioned, considered, funded and pursued. Not doing so could be seen as an abrogation of duty.

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